### Fairness and underspecification in acoustic scene classification The case for disaggregated evaluations

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#### Introduction

- ASC models being used in critical applications, e.g.:
  - Security
  - Autonomous driving
- ASC models should perform equally across devices/locations
  - Locations correspond to neighbourhoods of diverse social groups
  - Devices targeted at different users
- Underspecification can pose a problem to generalisation
  - Different models behave different across subpopulations
  - Operationalisation becomes harder

## Methodology

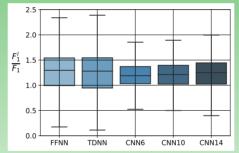
- Train 5 different architectures
  - 3-layer FFNN
  - TDNN (x-vector system)
  - CNN6, CNN10, CNN14 (VGGish CNNs)
- Investigate 2 different datasets
  - TUT-Urban (different cities and locations)
  - TUT-Mobile (different cities, locations, and devices)
- Utilise disaggregated evaluations
  - Unitary: examine different factors in isolation
  - Intersectional: examine multiple factors jointly

# Discussion

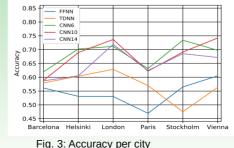
- Disaggregated performance showing large variance
  - Should be reported along with aggregated performance
- Models trained on identical settings (data/hparams) show different behaviour on specific subpopulations
  - Model selection for practitioners becomes harder
- Fairness will be an issue for real-life ASC applications
  - Should be addressed with (differential) fairness algorithms

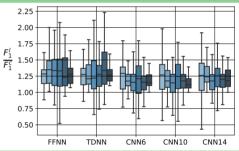
## Results

- $F_1$  ratio ( $F_1$  score at location over  $F_1$  for class) showing a high variance
  - Fairness: different locations will get a different performance (Fig. 1)
  - Underspecification: disaggregation per city reveals different trends for different models (Fig. 2)
    - TDNN has high variance for Stockholm (2<sup>nd</sup> last) and low variance for Barcelona (1<sup>st</sup>)
    - CNNs have low variance for Stockholm (2<sup>nd</sup> last) and high variance for Barcelona (1<sup>st</sup>)
- Accuracy per city (Fig. 3) showing both fairness and underspecification issues
  - Fairness: accuracy range across different cities ~10%
  - Underspecification: different models showing diverse performance on different subpopulations
    - CNN6 (green) and CNN14 (purple) have lower performance on Vienna vs Stockholm
    - CNN10 (red) has higher performance on Vienna vs Stockholm
  - Disaggregation per device (Fig. 4) showing different behaviour for device/city combinations



## Fig. 1: F1 ratio per location





### Fig. 2: $F_1$ ratio per location and city

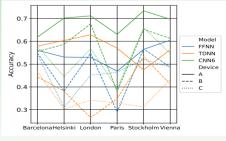


Fig. 4: Accuracy per city and device