# UNSUPERVISED ANOMALOUS SOUND DETECTION UNDER DOMAIN SHIFT CONDITIONS BASED ON MOBILEFACENETS AND MASKED AUTOREGRESSIVE FLOW

**Technical Report** 

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#### ABSTRACT

We present our submission to the DCASE2022 Challenge Task 2, which aims to promote research in unsupervised anomalous sound detection under domain shift condition. We propose two architectures to solve this problem, one is a self-supervised model adopting MobileFaceNets, and the other is one density estimation probability distribution model based on Masked Autoregressive Flow.

*Index Terms*— DCASE2022, Anomalous Sound Detection, Domain Shift, Machine Condition Monitoring, MobileFaceNets, Masked Autoregressive Flow

# 1. INTRODUCTION

The DCASE2022 Challenge Task 2 is concerned with identifying anomalous behavior from a target machine using sound recordings [1]. The main difference between this task and other DCASE tasks is that it is unsupervised. Consequently, there are only samples from the normal-state distributions in the available training data. Another complicating factor for this challenge is that the acoustic characteristics of the training data and of the test data are different – a condition known as domain shift and there are some known results for reducing the performance gap between the training and test data [2],[3], [4], [5], [6], [7], [8], [9], [10]. Recognizing the potential of these techniques, our experiments combine their advantages and hope to achieve better performance.

In our submission, we use two self-supervised classifiers based on previous work [11], [12], [13], [14]. In the first classifier we adopt dual features of audio waveform and logMel spectrum.Meanwhile, different anomaly score calculation methods are adopted for different types of machines. In the second model, a classifier is utilized that relies on masked normalizing flows to estimate the conditional density of input logMel spectrum and outputs are used to produce an anomaly score [15], [16], [17], [18], [19].

In the following sections, we will demonstrate each submission, how it was trained, its hyperparameters, and their respective results. To ensure our experiment more convincing, the baseline scores are showed in Tables 1 and 2. The data used in this challenge is 16 KHz, single-channel audio. For more details, please see [1], [20], [21].

## 2. ARCHITECTURES

The first model builds on the work [11]. The input to the model is the raw waveform and logMel spectrum of the audio. The second Table 1: Baseline Autoencoder Scores

	ToyCar	ToyTrain	bearing	fan	gearbox	slider	valve
h_mean_auc_source	0.9041	0.7632	0.5442	0.7859	0.6893	0.7795	0.5201
h_mean_auc_target	0.3481	0.2335	0.5838	0.4718	0.6264	0.4767	0.4946
h_mean_pauc	0.5274	0.5048	0.5198	0.5752	0.5849	0.5578	0.5036

Table 2: Baseline MobileNetV2 Scores

	ToyCar	ToyTrain	bearing	fan	gearbox	slider	valve
h_mean_auc_source	0.5912	0.5726	0.6058	0.7075	0.6921	0.6515	0.6709
h_mean_auc_target	0.5196	0.4590	0.5994	0.4822	0.5619	0.3823	0.5722
h_mean_pauc	0.5227	0.5152	0.5714	0.5690	0.5603	0.5467	0.6242

model is based on the well-known Masked Autoregressive Flow architecture, using Masked Autoencoder for Distribution Estimation(MADE).MADE is an auto-encoder that can capture distribution density. The overall model input is divided into two parts, one is the section ID as a conditional input, and the other is the logMel spectrum of normal audio.

#### 2.1. MobileFaceNets

The architecture of the first model is shown in Figure 1. Furthermore, we adopt different methods to calculate abnormal scores In order to capture the most obvious features of anomalous audio.

#### 2.1.1. Preprocessing

Since there are only a small number of labeled samples of target domain in the provided dataset, we employ a data augmentation algorithm[14]. At the same time, this algorithm can construct the neighborhood value of training samples according to the dataset distribution through the prior knowledge of the training set, and enhance the generalization ability of the model.

### 2.1.2. Training & Results

The methods of calculating anomaly scores are shown in Table 3. ToyTrain adopts the algorithm of Local Outlier Factor. Several machine types of Valve, Slider, Bearing and Gearbox are determined by experiments. The loss measurement method of cosine similarity



Figure 1: The Overview Architecture Of MobileFaceNets.



	ToyTrain	bearing	fan	gearbox	slider	valve
anomaly_scores	LOF	COS	GMM	COS	COS	COS

is adopted. At the same time, the model introduces two labels of source domain and target domain for domain judgment. When the audio point is closer to the source domain, the calculation of cosine similarity is performed with the center point of the source domain data. Training. When Fan's abnormal score is calculated, the GMM algorithm is used. The training is usually carried out for 30 epochs, using the training sets in the development and evaluation datasets, and finally calculating the audio embedding and in order to measure the audio from different angles. Loss, we use ArcFace loss during training. Table 3 shows our experimental results, which can be seen to be much improved than the baseline indicators.

Table 4: MobileFaceNets Scoring Results

	ToyCar	ToyTrain	bearing	fan	gearbox	slider	valve
h_mean_auc_source	0.6659	0.5650	0.6628	0.6386	0.7951	0.9331	0.8456
h_mean_auc_target	0.5610	0.4714	0.7034	0.5880	0.7394	0.8324	0.8337
h_mean_pauc	0.5258	0.5137	0.5665	0.5672	0.5961	0.7378	0.7011

## 2.2. MASKED AUTOREGRESSIVE FLOW

The second model architecture we submitted is shown in the figure, the model is a classifier that classifies sections with conditional input.



Figure 2: The Architecture Of Masked Autogressive Flow.

# 2.2.1. Preprocessing

Since there are only a small number of labeled target domain samples in the dataset, we employ a data augmentation algorithm of mixup [ref]. At the same time, this algorithm can construct the neighborhood value of training samples on the distribution of the training set through the prior knowledge of the training set, and enhance the generalization ability of the model.

The model did not use any special preprocessing or augmentation. Logarithmic analyses were performed on both STFT and Mel spectra. All spectrograms are calculated with frequency min and max set to 100 Hz and 8000 Hz, respectively.

Table 5: MAF Scoring Results

	ToyCar	ToyTrain	bearing	fan	gearbox	slider	valve
h_mean_auc_source	0.8936	0.7512	0.6628	0.7487	0.7951	0.9331	0.8456
h_mean_auc_target	0.6761	0.4968	0.7034	0.6780	0.7394	0.8324	0.8337
h_mean_pauc	0.5666	0.5465	0.5665	0.5811	0.5961	0.7378	0.7011

#### 2.2.2. Training & Results

The abnormal score calculation method of each type in the training process is shown in Table 3. ToyTrain adopts the algorithm of Local Outlier Factor. Several machine types of Valve, Slider, Bearing and Gearbox are determined by experiments. The loss measurement method of cosine similarity is adopted. At the same time, the model introduces two labels of source domain and target domain for domain judgment. When the audio point is closer to the source domain, the calculation of cosine similarity is performed with the center point of the source domain data. Training. When Fan's abnormal score is calculated, the GMM algorithm is used. The training is usually carried out for 30 epochs, using the training sets in the dev and eva data sets, and finally calculating the audio embedding, and in order to measure the audio from different angles. Loss, we use ArcFace loss during training. Embedding is used to calculate cosine similarity during testing. Table 3 shows our experimental results, which can be seen to be much improved than the baseline indicators.

#### 3. CONCLUSIONS

We have elaborated on our submission to the DCASE2022 challenge Task 2, the notable difficulty of which is the domain shift between the training and testing datasets. We find that domain adaptative methods that perform well in other modalities (especially visual) do not seem to work well with audio (at least in our experiments). This difference brings a greater need for adaptation methods in the audio domain to the DCASE2022 Challenge. Develop more audio domain adaptative techniques to solve domain shift has become a problem to be solved.

In the model we developed, we found that using Masked Autogressive Flow has some benefit for domain generalization as it is unsupervised and captures the distribution inside the audio. In a real-world setting, it is very difficult for us to collect more data on the target domain. It is even more impossible to obtain anomalous audio, so it is crucial to be able to use Masked Autogressive Flow to predict the distribution inside normal data. Going forward, we plan to work with more variants of Masked Autogressive Flow.

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